

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65990MH2016PTC286516

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAXCS7051B

(ii) (a) Name of the company

AUXILO FINSERVE PRIVATE LIM

(b) Registered office address

Office No. 63, 6th Floor Kalptaru Square Kondivita Road, A
ndheri East NA
Mumbai
Mumbai City
Maharashtra
400050

(c) *e-mail ID of the company

CO*****LO.COM

(d) *Telephone number with STD code

02*****33

(e) Website

www.auxilo.com

(iii) Date of Incorporation

04/10/2016

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,650,000,000	376,991,150	376,991,150	376,991,150
Total amount of equity shares (in Rupees)	16,500,000,000	3,769,911,500	3,769,911,500	3,769,911,500

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	1,650,000,000	376,991,150	376,991,150	376,991,150

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	16,500,000,000	3,769,911,500	3,769,911,500	3,769,911,500

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	358,000,000	113,134,145	113,134,145	113,134,145
Total amount of preference shares (in rupees)	3,580,000,000	1,131,341,450	1,131,341,450	1,131,341,450

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	358,000,000	113,134,145	113,134,145	113,134,145
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	3,580,000,000	1,131,341,450	1,131,341,450	1,131,341,450

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	376,266,135	376,266,135	3,762,661,300	3,762,661,300	
Increase during the year	0	725,015	725,015	7,250,150	7,250,150	788,722.95
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	25,015	25,015	250,150	250,150	788,722.95
v. ESOPs	0	700,000	700,000	7,000,000	7,000,000	
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	376,991,150	376991150	3,769,911,5	3,769,911,5	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	113,134,145	113134145	1,131,341,4	1,131,341,4	3,567,119,59
i. Issues of shares	0	113,134,145	113134145	1,131,341,4	1,131,341,4	3,567,119,59
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	113,134,145	113134145	1,131,341,4	1,131,341,4	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	18,250	1000000 / 100000	2,343,750,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			2,343,750,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	2,720,416,750	1,750,000,000	2,126,666,750	2,343,750,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

3,566,815,000

(ii) Net worth of the Company

9,873,622,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0

6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	165,292,000	43.85	0	0
10.	Others	0	0	0	0
	Total	165,292,000	43.85	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	10,058,315	2.67	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	36,323,820	9.64	9,378,762	8.29
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	165,292,000	43.85	0	0
10.	Others Alternate Investment Fund	25,015	0.01	103,755,383	91.71

	Total	211,699,150	56.17	113,134,145	100
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Total number of shareholders (other than promoters)

22

**Total number of shareholders (Promoters+Public/
Other than promoters)**

23

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	15	22
Debenture holders	188	551

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	1	0	1	0	0
B. Non-Promoter	1	6	1	6	1.71	0
(i) Non-Independent	1	3	1	3	1.71	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	3	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	3	0	0
Total	1	7	1	10	1.71	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

13

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ASHWIN PUKHRAJ JA	00173983	Director	0	
MANISH BALKISHAN	00204011	Director	0	
VIVEK SARAOGI	00221419	Director	0	
AKASH MANEK BHAN	00265600	Director	0	
GAUTAM JAIN	00296575	Director	0	
CHINNATHAMBI ILAN	03498879	Director	0	
DEO SHANKAR TRIPA	07153794	Director	0	
NEERAJ SAXENA	07951705	Managing Director	8,354,078	
AKHIL AWASTHI	00148350	Nominee director	0	
LAVANYA ASHOK	03453279	Nominee director	0	
PERUMAL SRINIVASA	00365025	Nominee director	0	
HARSHA SAKSENA	AFMPS8443Q	CFO	0	
DEEPIKA THAKUR CH	AKTPB8276C	Company Secretar	52,737	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
MANISH BALKISHA	00204011	Director	28/06/2023	Change in designation
DEO SHANKAR TRI	07153794	Director	28/06/2023	Change in designation
AKHIL AWASTHI	00148350	Additional director	19/07/2023	Appointment
AKHIL AWASTHI	00148350	Nominee director	19/07/2023	Change in designation
LAVANYA ASHOK	03453279	Additional director	20/12/2023	Appointment
LAVANYA ASHOK	03453279	Nominee director	20/12/2023	Change in designation
PERUMAL SRINIVA	00365025	Additional director	20/12/2023	Appointment
PERUMAL SRINIVA	00365025	Nominee director	20/12/2023	Change in designation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

5

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	28/06/2023	16	6	90.11
Extra Ordinary General Mee	22/06/2023	16	7	90.24
Extra Ordinary General Mee	19/07/2023	16	7	90.23
Extra Ordinary General Mee	11/12/2023	21	9	99.81
Extra Ordinary General Mee	20/12/2023	21	9	96.29

B. BOARD MEETINGS

*Number of meetings held

9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	02/05/2023	8	7	87.5
2	13/06/2023	8	8	100
3	21/06/2023	8	8	100
4	19/07/2023	8	7	87.5
5	02/08/2023	9	7	77.78
6	03/11/2023	9	8	88.89
7	11/12/2023	9	8	88.89
8	20/12/2023	9	6	66.67
9	31/01/2024	11	11	100

C. COMMITTEE MEETINGS

Number of meetings held

39

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	02/05/2023	4	4	100
2	Audit Committee	02/08/2023	5	4	80
3	Audit Committee	03/11/2023	5	4	80
4	Audit Committee	30/01/2024	5	5	100
5	Nomination & I	02/05/2023	5	5	100
6	Nomination & I	19/07/2023	5	5	100
7	Nomination & I	03/11/2023	6	5	83.33
8	Nomination & I	20/12/2023	6	5	83.33
9	Executive Com	02/05/2023	2	2	100
10	Executive Com	13/06/2023	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	02/07/2024
								(Y/N/NA)
1	ASHWIN PUK	9	6	66.67	0	0	0	Yes
2	MANISH BALH	9	8	88.89	14	11	78.57	Yes
3	VIVEK SARAC	9	8	88.89	14	7	50	No
4	AKASH MANE	9	5	55.56	10	10	100	No
5	GAUTAM JAIN	9	9	100	21	19	90.48	Yes
6	CHINNATHAM	9	9	100	10	10	100	Yes
7	DEO SHANKA	9	9	100	14	14	100	Yes
8	NEERAJ SAX	9	9	100	30	30	100	Yes
9	AKHIL AWAS	5	5	100	8	8	100	No
10	LAVANYA AS	1	1	100	0	0	0	Yes
11	PERUMAL SR	1	1	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Neeraj Saxena	Managing Director	20,823,020			2,875,000	23,698,020
	Total		20,823,020	0	0	2,875,000	23,698,020

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Harsha Saxena	Chief Financial Officer	7,919,964			1,500,000	9,419,964
2	Ms. Deepika Thakur	Chief Compliance Officer	2,691,618			400,000	3,091,618
	Total		10,611,582	0	0	1,900,000	12,511,582

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MANISH BALKISHA	Independent Director	0			560,000	560,000
2	CHINNATHAMBI IL	Independent Director	0			580,000	580,000
3	DEO SHANKAR TR	Independent Director	0			660,000	660,000
	Total		0			1,800,000	1,800,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

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(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director 

DIN of the director

0*9*1*0*

To be digitally signed by

DEEPIKA THAKUR CHAUHAN
Digitally signed by DEEPIKA THAKUR CHAUHAN
Date: 2024.08.26 16:25:49 +0530'

Company Secretary

Company secretary in practice

Membership number

2*9*7

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

ListofEquity Shareholders31032024.pdf
MGT-8.pdf
ListofPreferenceShareholders31032024.pc
DetailsofDebenture holders2324.pdf
ListofCommittee Meetings2324.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company